



In re Application of: Lam et al.

Serial No.: 09/758,034

Group Art Unit: 2641

Filed: January 9, 2001

Examiner: Not Yet Assigned

For: System and Method for Utterance
Verification of Chinese Long and Short
Keywords

Attorney Docket No.: NSO-001.01

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as express mail (Express Mail Label No. EV 327721883 US) in an envelope addressed to: Mail Stop: Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **April 23, 2004**.


Steve Pereira

Mail Stop: Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants submit this Response in reply to the Notice to File Missing Parts dated April 5, 2001.

The Notice to File Missing Parts indicates that the following items are required to complete the application: (1) an executed declaration and (2) the application filing fee, the claim fees for three extra independent claims, and the surcharge for late filing of executed declaration.

With respect to item (1), Applicants herewith provide an executed declaration of inventor Kwok Leung Lam and an accompanying Petition under 37 C.F.R § 1.47(a).

With respect to item (2), Applicants claim small entity status and herewith provide a check to cover the \$385.00 filing fee, the \$129.00 claim fees, and the \$65.00 surcharge.

Applicants also provide a copy of the Notice to File Missing Parts.

Applicants' Attorney considers this Response to be fully response to the Notice to File Missing Parts. Should additional fees be required for consideration of the Response, Applicants' Attorney requests that the additional fees be charged to **Deposit Account No. 06-1448, Reference NSO-001.01**. Further, Applicants' Attorney hereby authorizes the Commissioner to credit any overpayment to **Deposit Account Number 06-1448, Reference NSO-001.01**.

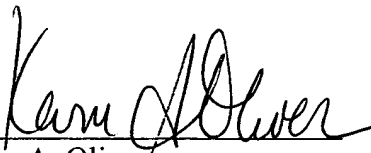
Should questions arise concerning this Response, please contact the undersigned.

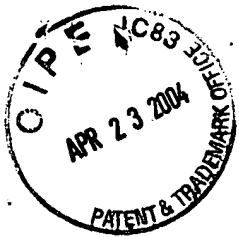
Respectfully submitted,
FOLEY HOAG LLP

Date:

April 23, 2004

Customer No. **25,181**
Patent Group
Foley Hoag LLP
155 Seaport Blvd.
Boston, MA 02210
Tel: (617) 832-1241
Fax: (617) 832-7000


Kevin A. Oliver
Reg. No. 42,049
Attorney for the Applicants



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lam et al.

Atty Docket: NSO-001.01

Serial No.: 09/758,034

Art Unit: 2641

Filed: January 9, 2001

Examiner: Not yet assigned

Title: System and Method for Utterance Verification of Chinese Long and Short
Keywords

RECEIVED

TRANSMITTAL LETTER

APR 28 2004

OFFICE OF PETITIONS

Dear Sir or Madam:

Enclosed are the following:

1. This Transmittal Letter with Certificate of Express Mailing (2 pgs);
2. Fee Sheet *in duplicate* (2 total pages);
3. A Petition to Revive with Certificate of Express Mailing (2 pages);
4. A Response to Notice to File Missing Parts with Certificate of Express Mailing (2 pgs);
5. A copy of the Notice to File Missing Parts (1 pg);
6. An original executed Declaration (1 pg);
7. Petition Under 37 CFR 1.47(a) with Certificate of Express Mailing (3 pgs);
8. Exhibit A (2 pgs); Exhibit B (2 pgs); Exhibit C (1 pg);
9. A Change of Address and Docket No. with Certificate of Express Mailing (2 pgs);
10. A copy of an executed Power of Attorney (1 page);
11. A check in the amount of \$1,374.00 (fees); and
12. A return postcard.

April 23, 2004

Date

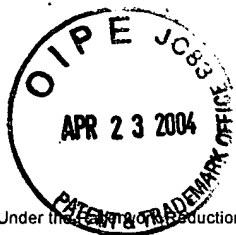
Customer No. 25,181
Patent Group
155 Seaport Boulevard
Boston, MA 02210-2600

Respectfully submitted,

FOLEY HOAG LLP

Kevin A. Oliver

Registration No. 42,049



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PTO/SB/92 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Certificate of Express Mailing under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to:

Mail Stop: Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Express Mail No.: EV 327721883 US

On April 23, 2004

Date


Signature

Steve Pereira

Typed or printed name of person signing Certificate

Customer No. **25181**
Foley Hoag LLP
World Trade Center West
155 Seaport Blvd.
Boston, MA 02210-2600

Attorney Docket No: NSO-001.01

Submission consisting of: This Transmittal Letter with Certificate of Express Mailing (2 pgs); Fee Sheet *in duplicate* (2 total pages); Petition to Revive (2 pgs); Response to Notice to File Missing Parts (2 pgs); Copy of the Notice to File Missing Parts (1 pg); an original executed Declaration (1 pg); Petition Under 37 CFR 1.47(a) (3 pgs); Exhibit A (2 pgs); Exhibit B (2 pgs); Exhibit C (1 pg); Change of Address and Docket No. (2 pgs); Copy of executed Power of Attorney (1 pg); Check in the amount of 1,374.00 (fees); and Return Postcard.

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/758,034	01/09/2001	Kwok Leung Lam	WIW-001.01

Chiahua George Yu
C. George Yu, Attorney
Ste. 210
1250 Oakmead Pkwy
Sunnyvale, CA 94085



CONFIRMATION NO. 8283

FORMALITIES LETTER



OC000000005937223

Date Mailed: 04/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

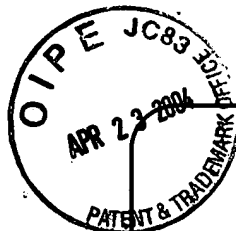
A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/27/2004 RMONDAF1 00000114 09758034
01 FC:2001 385.00 BP
02 FC:2051 65.00 BP
03 FC:2201 129.00 BP



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PTO/SB/17 (10-03)
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Mark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1374

Complete if Known

Application Number	09/758,034	RECEIVED
Filing Date	January 9, 2001	
First Named Inventor	Lam et al.	APR 23 2004
Examiner Name	Not yet assigned	
Art Unit	2641	OFFICE OF PETITIONS
Attorney Docket No.	NSO-001.01	

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☐ Deposit Account:

Deposit
Account
Number

06-1448, Reference: NSO-001.01

Deposit
Account
Name

Foley Hoag LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$) 385

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid	
18	-20 **	=	0	X		=	0
Independent Claims	6	-3 **	=	3	X	43	= 129
Multiple Dependent				X			= 0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 129

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	65
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	665
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 860

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Kevin A. Oliver	Registration No. (Attorney/Agent)	42,049	Telephone	(617) 832-1241
Signature		Date	April 23, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.